TAB 3

Index of Documents

Index of PEU DW 20-156 Rate Case Documents

Tab	Rate Case Information	Legal Authority	Page
1	Notice of Intent	RSA 378:3; Puc 1604.05(a)	1
2	Cover Letter	Puc 1604.02(a)(1)	3
3	Index of PEU DW 20-156 Rate Case Documents		6
4	Attestation	Puc 1604.04	10
5	Petition for Temporary Rates and Temp Rate Tariffs	RSA 378:27-29	12
6	Joint Temporary Rate Testimony of Larry D. Goodhue and Donald L. Ware	RSA 378:27-29 and PART Puc 200	48
7	Report of Proposed Rate Changes	Puc 1604.02(a)(2)	57
8	Customer Notice	Puc 1604.02(a)(5)	61
9	Testimony of Larry D. Goodhue	Puc 1604.02(a)(3)	66
10	Testimony of Donald L. Ware and Attachment	Puc 1604.02(a)(3)	98
11	Testimony of David Fox (COSS) and Attachments	Puc 1604.02(a)(3)	131
12	Petition for Further Modifications to Ratemaking Structure	RSA 378:7 and :28	166
13	Filing Requirement (FR) Schedules	Puc 1604.06 and 1604.07	175
	Computation of Revenue Deficiency	Puc 1604.07(a)(1)	176
	Schedule 1 - Operating Income Statement	Puc 1604.07(a)(2)	178
	Schedule 1 Attachment - Pro Forma Adjustment Income or Expense	Puc 1604.07(a)(3)	179
	Schedule 1A - Property Taxes	Puc 1604.07(a)4)	191
	Schedule 1B - Payroll	Puc 1604.07(a)(5)	206
	Schedule 1C - Normalization	Puc 1604.07(a)(6)	209
	Schedule 2 - Assets and Deferred Charges	Puc 1604.07(a)(7)	210
	Schedule 2A - Stockholders Equity and Liabilities	Puc 1604.07(a)(8)	211
	Schedule 2B - Material and Supplies for the test year and 13 monthly or 5-quarter average for the first preceding year and second preceding year	Puc 1604.07(a)(9)	215
	Schedule 2C - Contributions in Aid of Construction	Puc 1604.07(a)(10)	219
	Schedule 3 - Rate Base Replaced by CBFRR, OERR, MOERR, NOERR, and DSRR; See Order Nos. 25,292 in DW 11-026 and 26,179 in DW 17-128	Puc 1604.07(a)(11)	
	Schedule 3A - Working Capital Replaced by CBFRR, OERR, MOERR, NOERR, and DSRR; See Order Nos. 25,292 in DW 11-026 and 26,179 in DW 17-128	Puc 1604.07(a)(12)	
	Schedule 3 Attachment - Pro Forma Adjustment Rate Base Replaced by CBFRR, OERR, MOERR, NOERR, and DSRR; See Order Nos. 25,292 in DW 11-026 and 26,179 in DW 17-128	Puc 1604.07(a)(13)	

Index of PEU DW 20-156 Rate Case Documents

	Schedule 3 Attachment - Name of Account Replaced by CBFRR, OERR, MOERR, NOERR, and DSRR; See Order Nos. 25,292 in DW 11-026 and 26,179 in DW 17-128	Puc 1604.07(a)(14)	
14	1604.08 Rate of Return Schedules		220
	Schedule 1 Overall Rate of Return showing the component ratio, component cost rate, and weighted average cost rate of stock, long and short term debt, and total of stock and debt.	Puc 1604.08(c)(1)	221
	Schedule 2 Capital Structure for Ratemaking Purposes [See Below Schedules]	Puc 1604.08(c)(2)	
	Schedule 3 Historical Capital Structure as of December 31 or Other Fiscal Year End for Each Year	Puc 1604.08(c)(3)	222
	Schedule 4 Capitalization Ratios at December 31 or for Other Fiscal Year End for Each Year	Puc 1604.08(c)(4)	223
	Schedule 5 Weighted Average Cost of Long-Term Debt showing for each entry, the: item, date issued, face value, financing costs, net proceeds ratio, outstanding amount, cost rate based upon net proceeds, annual cost, total amount outstanding, and weighted average cost rate.	Puc 1604.08(c)(5)	224
	Schedule 6 Cost of Short-Term Debt showing the cost rate, outstanding amount and annual cost for bank loans; loans from individuals, commercial paper, and weighted average cost.	Puc 1604.08(c)(6)	225
	Schedule 7 Weighted Average Cost of Preferred Stock	Puc 1604.08(c)(7)	226
	Schedule 8 Cost of Common Equity Capital showing the rate of return on common equity.	Puc 1604.08(c)(8)	227
15	Permanent Rate Tariff Pages	Puc 1603.05	228
16	Summary of Puc 1604.01(a) "Contents of a Full Rate Case" Documents		259
17	1604.01(a)(1) Internal Financial Reports for the first and last month of the test year; for the entire test year; and for the 12 months or 5 quarters prior to the test year.	Puc 1604.01(a)(1)	261
18	1604.01(a)(2) Annual Reports to Stockholders and statistical supplements, if any, for the most recent 2 years.	Puc 1604.01(a)(2)	266
19	1604.01(a)(3) Federal Income Tax Reconciliation for the test year	Puc 1604.01(a)(3)	267
20	1604.01(a)(4) Detailed NH and Fed Tax Factor Computations on the increment of revenue needed to produce a given increment of net operating income.	Puc 1604.01(a)(4)	269

Index of PEU DW 20-156 Rate Case Documents

21	1604.01(a)(5) Detailed Charitable Contributions charged in the test year above the line showing the donee, amount, and account charged. (contributions of \$50 or more)	Puc 1604.01(a)(5)	271
22	1604.01(a)(6) List of Advertising charges in the test year above the line showing expenditures by media, subject matter, and account charged. (expenditures of \$50 or more)	Puc 1604.01(a)(6)	272
23	1604.01(a)(7) Most Recent Cost of Service Study if not previously filed in an adjudicative proceeding.	Puc 1604.01(a)(7)	273
24	1604.01(a)(8) Most Recent Construction Budget	Puc 1604.01(a)(8)	274
25	Chart of Accounts if Different than NHPUC	Puc 1604.01(a)(9)	277
26	Securities and Exchange Commission 10K and 10Q	Puc 1604.01(a)(10)	278
27	Membership Fees, Dues and Lobbying Expenses	Puc 1604.01(a)(11)	279
28	Depreciation Study	Puc 1604.01(a)(12)	280
29	Management and Financial Audits	Puc 1604.01(a)(13)	459
30	Officer and Director Compensation	Puc 1604.01(a)(14)	472
31	Officer and Executive Incentive Plans	Puc 1604.01(a)(15)	473
32	List of Amount of Voting Stock	Puc 1604.01(a)(16)	474
33	Payments for Contractual Services in Excess of \$50,000	Puc 1604.01(a)(17)	475
34	Amount of Assets and Costs Allocated to Non- Utility Operations	Puc 1604.01(a)(18)	477
35	Balance Sheets and Income Statements for Previous Two Years	Puc 1604.01(a)(19)	490
36	Quarterly Income Statements for Previous Two Years	Puc 1604.01(a)(20)	506
37	Quarterly Sales Volumes	Puc 1604.01(a)(21)	509
38	Projected Need for External Capital	Puc 1604.01(a)(22)	511
39	Capital Budget - Sources and Uses	Puc 1604.01(a)(23)	514
40	Outstanding Short-Term Debt on Monthly Basis for Each Indebtedness	Puc 1604.01(a)(24)	518
41	Certificate of Details of Management Fee Information	Puc 1604.01(a)(25)	519
42	Summary or Rate Case Expense Estimates	Puc 1905.01(a)	520